

CLIENT REGISTRATION/OWNERSHIP  INDIVIDUAL  CORPORATION  ESTATE  
 PRIMARY CLIENT

JOINT  WROS  TIC  ITF  
 RELATED PARTY

LAST NAME \_\_\_\_\_ FIRST NAME & INITIALS \_\_\_\_\_  
 SIN # \_\_\_\_\_ DATE OF BIRTH (DD/MM/YYYY) \_\_\_\_\_

LAST NAME \_\_\_\_\_ FIRST NAME & INITIALS \_\_\_\_\_  
 SIN # \_\_\_\_\_ DATE OF BIRTH (DD/MM/YYYY) \_\_\_\_\_

ADDRESS \_\_\_\_\_ CITY \_\_\_\_\_ PROVINCE \_\_\_\_\_ POSTAL CODE \_\_\_\_\_

- RESP  LIF  DPSP  LIRA  OPEN  LRIF  RRSP  SPOUSAL  
 GROUP  LRSP  RIF  TFSA  OTHER \_\_\_\_\_  SELF-DIR.

**PIP PLAN # (MANDATORY)**

TRADING AUTHORIZATION: HAS A LIMITED TRADING AUTHORIZATION BEEN PROVIDED?  YES  NO

LEVERAGING: A HIGH RISK STRATEGY. THE CLIENT HAS BEEN PROVIDED WITH THE REQUIRED LEVERAGING DISCLOSURE DOCUMENT?  YES  NO

**PURCHASE**

INTERMEDIARY NAME: \_\_\_\_\_ INTERMEDIARY NUMBER: \_\_\_\_\_

FUND CODE	FUND NAME	NEW ACCT	EXISTING ACCOUNT NO.	DSC	SC	AMOUNT (DOLLARS / UNITS)	WIRE ORDER #

CHEQUE  T2151  T2033  PAC INSTRUCTIONS  TD2  OTHER (SEE SPECIAL INSTRUCTIONS)  SWP INSTRUCTIONS  
 START DATE: \_\_\_\_\_ STOP DATE: \_\_\_\_\_ FREQ: \_\_\_\_\_  PAC/SWP CHANGE  
 DD/MM/YYYY DD/MM/YYYY

**REDEEM / SWITCH / CONVERT FROM**

**PAC / SWP EXISTING INSTRUCTIONS**

CONVERSION  SWITCH WITHIN ONE FUND FAMILY  REDEMPTION  CONTRIBUTION IN KIND FROM AN OPEN ACCOUNT TO AN RSP ACCOUNT

FUND CODE	FUND NAME	EXISTING ACCOUNT NO.	NET OR GROSS	DSC OR SC	AMOUNT (DOLLARS / UNITS / %)	WIRE ORDER #
1						
2						
3						
4						
5						

FOR A REDEMPTION, PLEASE MAKE CHEQUE PAYABLE TO CLIENT AND SEND DIRECTLY VIA:  SEE SPECIAL INSTRUCTIONS  EFT (VOID CHEQUE ATTACHED)  MAIL  
 CONVERSION BETWEEN FUND FAMILIES. SEND CHEQUE BY COURIER TO CORPORATE HEAD OFFICE MAKE CHEQUE PAYABLE TO "PARTNERS IN PLANNING IN TRUST"

**SWITCH / CONVERT TO**

**PAC / SWP NEW INSTRUCTIONS**

FUND CODE	FUND NAME	NEW ACCT	EXISTING ACCOUNT NO.	DSC	SC	AMOUNT (DOLLARS / UNITS / %)	WIRE ORDER #
1							
2							
3							
4							
5							

SPECIAL INSTRUCTIONS: \_\_\_\_\_

CLIENT ACKNOWLEDGEMENT: I/WE AUTHORIZE THE ABOVE TRANSACTION. I/WE ACKNOWLEDGE RECEIPT OF A CURRENT PROSPECTUS FOR EACH NEW FUND ACQUIRED

SIGNED AT \_\_\_\_\_ THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

FINANCIAL ADVISOR SIGNATURE GUARANTEE \_\_\_\_\_ SIGNATURE OF APPLICANT \_\_\_\_\_

FINANCIAL ADVISOR NAME (Please Print) \_\_\_\_\_ DEALER \_\_\_\_\_ ADVISOR CODE \_\_\_\_\_ SIGNATURE OF JOINT APPLICANT \_\_\_\_\_